

JMMB SECURITIES (T&T) LIMITED IS A FULLY OWNED SUBSIDIARY OF JMMB INVESTMENTS (TRINIDAD AND TOBAGO) LIMITED. INFORMATION CONTAINED THEREIN MAY BE SHARED WITH ENTITIES OF THE JMMB GROUP OF COMPANIES, INCLUSIVE OF THE ABOVE NAMED COMPANIES.

ACCOUNT OPENING REQUIREMENTS:

COMPANY ACCOUNTS

Audited Financial Statements for the last 3 financial years or management accounts for self-employed persons and businesses which have been in operation for more than three years or three year estimates of income for self-employed persons and businesses which have been in operation for less than three years. For self-employed persons-six months bank statement may be requested

Previous Year Annual Return

Directors and other Officers of a Company- at least 2 Directors of the Company and the Company Secretary to provide 2 forms of IDs, the signatories on the account to provide 1 form of ID. Proof of address is not required for the signatories. The Corporate Secretary may via company letter head confirm the proof of address for the Directors since this is stated on the Annual Return. In this instance the Utility Bill and Statement from financial institution will be waived

Letter on the company letterhead stating the authorized signatories for the account with specimen signatures and a List of all Directors

Board Resolution for investment as evidence of the authority to enter into the business relationship and authorizing the account signatories

Articles of Incorporation

Certificate of Incorporation or Certificate of continuance

Notice of Address of Registered Office

Notice of Change of Directors where applicable

Articles of Continuance (applicable, only if Companies incorporated before April 1997)

Certificate of Continuance (applicable only if Companies incorporated before April 1997)

Notice of Secretary

By-Laws (applicable to Companies incorporated after April 1997)-where applicable-if there are no By-laws, indicate same on certificate to be signed by the President/Director and Secretary of the Company

Identify and verify persons (2 IDs required) with a substantial interest (10% or more) in the issued and outstanding share capital of the customer in order to understand the customer's ownership and control structure

Company Stamp