



Company Client Information Form

Universal Client Number Assigned

*To become a client of the JMMB Group the following information is required from Directors and Authorized Signers:

- 1. One (1) valid identification (Driver's License or Passport or National ID/Resident/Citizenship Card + Birth/Adoption Certificate);
2. Tax Registration Number or Foreign Equivalent,
3. Proof of Residential Address (Must have YOUR name and YOUR residential address on it and must not be older than 3 months)

COMPANY INFORMATION

Registered Company Name

Description of Business

Date of Incorporation Country of Incorporation

Industry Tax Compliance No/Tax Identification No

Primary Trade Area(s) []Jamaica []Other

Primary Source of Funding []Sales []Fees []Grants []Other

Address of Registered Office

Parish/City State Zip Code Country

Tel No. (1) Tel No. (2) Fax

Website E-mail

Mailing Address (if different from Address of Registered Office)

Parish/City State Zip Code Country

CONTACT PERSON

Primary Contact Person Position Tel. No.

Secondary Contact Person Position Tel. No.

INCOME DETAILS & PROJECTED LEVEL OF ACTIVITY

What is the maximum projected level of activity within a year? (e.g. between J\$100,000 - J\$200,000)

Expected transaction amounts and frequency (e.g. J\$10,000 per month, etc.)

Income Main source(s) of funds

Risk Status

Purpose of the Account

BANKERS

Principal Banker Secondary Banker

Full Name Full Name

Address Address

Telephone No. Telephone No.

MAJOR SUPPLIERS (where applicable)

1.

2.

3.

LEVEL OF AUTHORITY TO MANAGE PORTFOLIO

- [] Full Discretion - Client grants full authority without consultation
[] Partial Discretion - Clients must be contacted before execution of any trade or transaction
[] Custody - No discretion to trade. Assets are for safekeeping and reporting only

RISK APPETITE

- [] Conservative (Low Risk) [] Medium (Medium Risk) [] Aggressive (High Risk) [] Investment
[] Fixed Income Only [] Balanced [] Other Please specify % of each

ELECTRONIC INDEMNITY (FOR JMMB BANK (JAMAICA) LTD. CLIENTS ONLY)

I/We hereby authorise JMMB Bank (Jamaica) Limited to act on any instructions, which are received by JMMB Bank (Jamaica) Limited by any of the methods approved by me below. By signing to any of the methods (below) I/we agree to be bound by the terms of use outlined in the remainder of this document as applicable to that method of transmission of instructions approved by me below.



METHOD OF TRANSMISSION OF INSTRUCTIONS

- FACSIMILE (FAX) EMAIL VERBAL (ORAL INSTRUCTIONS VIA THE TELEPHONE THROUGH THE CALL CENTRE ONLY)

This agreement is on the transmission from me/us regarding my/our account(s) held with your institution, subject always to such Fax, E-mail or verbal instructions approved by me, and received from me by such method(s) of transmission approved by me /us above, and being duly provided in accordance with the signing authority given to JMMB Bank (Jamaica) Limited by me/us.

In consideration of JMMB Bank (Jamaica) Limited, any of its officers, agents or otherwise acting in accordance with my/our instructions regarding my/our account(s) with you, via Fax, or E-mail or Verbally and provided that such instruction was received by any transmission method(s) approved above via I/we agree:

- 1. that JMMB Bank (Jamaica) Limited may accept and act on any Fax and or E-mail and or Verbal instructions sent by me/us from time to time and I/we voluntarily and with full knowledge take and assume any and all risks associated with such request(s);
2. that whenever Fax and or E-mail and or verbal instructions are received by JMMB Bank (Jamaica) Limited and purportedly sent by the authorized signers on an account (subject to specific signing instructions on the account, instructions from one (1) authorized signer will suffice) provided that one or all of the signers have signed to this agreement, JMMB Bank Limited shall have no obligation to check or verify the authenticity or accuracy of such Fax, and or E-mail and or Verbal instructions and may act on these instructions as if same had been duly provided in writing under the signature of me/us or given by me/us in person at one of your offices;
3. to irrevocably authorize JMMB Bank (Jamaica) Limited to execute the transaction from my/our account(s) held with You immediately on receipt of the first Fax, E-mail or Verbal instructions regarding the transaction, and to debit my/our account(s) with all sums of money whatsoever, interest on money, costs, charges and expenses which the Bank may incur as a result of its complying with such Fax and or E-mail and or Verbal instructions. JMMB Bank (Jamaica) Limited will not be held liable for subsequent Fax, E-mail or Verbal instructions to not proceed with the initial request nor will JMMB Bank (Jamaica) Limited be liable for a duplication of the transaction based on the customer following up the E-mail or Fax with subsequent written instructions (for the same transaction) bearing original signatures. JMMB Bank (Jamaica) Limited in line with good customer service will endeavor to assist me/us to rectify, however JMMB Bank (Jamaica) Limited is not obligated to do so. Transactions involving a third party are at the sole discretion of that party to assist in rectifying;
4. that in acting on such Fax and or E-mail and or Verbal instructions, JMMB (Jamaica) Bank Limited will at all times be deemed to have acted properly in executing such transactions/instructions and to have completely performed all obligations owed to me/us, notwithstanding that such Fax and or E-mail and or Verbal instructions received by JMMB Bank (Jamaica) Limited purportedly from me/us may have been initiated or communicated in error or fraudulently and I/we shall at all times be bound by any instructions received by JMMB Bank (Jamaica) Limited and purportedly sent by me/us from time to time on which time JMMB Bank (Jamaica) Limited may act if JMMB Bank (Jamaica) Limited in good faith and in exercising the necessary duty of care believed that the instructions received by Fax and or E-mail and or Verbal instructions were authentic instructions from me/us;
5. that JMMB Bank (Jamaica) Limited reserves the right not to act on instructions received in whole or in part via Fax and or E-mail and or Verbal instructions, if JMMB Bank (Jamaica) Limited believes that such instructions were fraudulently provided and or may put the transaction on hold until it has carried out further investigation into the genuineness of the instructions. JMMB Bank (Jamaica) Limited shall in no event or circumstances be held liable in any respect for any costs or losses suffered from declining the transaction or putting the transaction on hold;
6. that notwithstanding the above, JMMB Bank (Jamaica) Limited is not obligated to carry out such further investigation, and provided it has exercised due care may proceed with the transaction and shall in no event or circumstances be held liable in any respect for any costs or losses suffered from proceeding with the transaction;
7. to indemnify JMMB Bank (Jamaica) Limited, its officers, subsidiaries, agent and assigns and to keep JMMB Bank (Jamaica) Limited and its affiliates (as mentioned) indemnified against all actions, proceedings, liabilities, claims, damages, costs, losses and expenses in relation to JMMB Bank (Jamaica) Limited acting on my/our instructions in whole or in part sent by Fax, E-mail or Verbally;
8. to be jointly and individually liable under this authority and indemnity in respect of any and all joint accounts;
9. that JMMB Bank (Jamaica) Limited is providing me/us with an additional contractual service via this agreement, and will hold and enforce the terms of this agreement on me us and any additional party(ies) I may add to my/our account for which this service will apply;

that I/we acknowledge that providing instruction regarding my/our account(s) to JMMB Bank (Jamaica) Limited via Fax and or E-mail and verbally is for my/our convenience and in my/our interest only and at our sole discretion and is not a feature of my inves

CORPORATE RELATIONSHIP INFORMATION

Politically Exposed Persons (PEP): Are any of the directors,, signatories, majority shareholders or their immediate family members (spouse*, child, step & adopted child, parents, siblings, child's spouse*) or their close business associates, a current or former Head of State, Head of Government, member of any House of Parliament, Minister of Government, member of the judiciary, military official above the rank of Captain, member of police above the rank of Assistant Commissioner, Permanent Secretary or Chief Technical Director in charge of a Government department/ministry/executive agency/ statutory body, official of any political party &/or director or CEO of any company owned by your country of residence or a foreign government? Please provide specific details in the respective section below.

Is a PEP? No Yes If Yes, in what capacity?.....

Is the company:

- a. Acting as a trustee for another in relation to the business relationship or one-off transaction concerned? Yes No
b. One with nominee shareholders, or shares held in bearer form? Yes No



Principal Shareholders - (This refers to the principal owners or major shareholders of the business i.e. those holding 10% or more). Principal Shareholders are to provide a valid government issued identification, TRN & proof of address. For additional owners please provide the information on an additional sheet of paper.

Principal Shareholder 1

Full Name

Residential Address

.....

Telephone No.

Title/Position

Email Address

Is a US person? Yes No

If Yes state US affiliation PEP? Yes No

Principal Shareholder 2

Full Name

Residential Address

.....

Telephone No.

Title/Position

Email Address

Is a US person? Yes No

If Yes state US affiliation PEP? Yes No

Directors - (List Directors)

	Full Name (Title, First, Middle, Last Name)	Residential Address (proof of address must be submitted)	Telephone Number	Signature	PEP? (If Yes, Please State Capacity)
1.					
2.					
3.					
4.					

Authorized Signers - (List Authorized Signers)

	Full Name (Title, First, Middle, Last Name)	Residential Address (proof of address must be submitted)	Telephone Number	Signature	PEP? (If Yes, Please State Capacity)
1.					
2.					
3.					
4.					

Bearers - (List the names of Personnel/Bearers authorized to collect documents/receive information on behalf of the Company)

	Name (First Name/Last Name)	ID Type (DL, PP, NI, Resident Card/Citizenship Card)	ID Number	ID Expiry Date (DD/MM/YYYY)
1.				
2.				
3.				

ADDITIONAL INFORMATION

Heard about us via: Branch Effort Campaign Client Client Care Centre Financial Advisor
 Friend Internet Newspaper Radio Client Education Workshop
 Team Member Television
 Other

DECLARATION & CONSENT

Your financial information is used to help us manage operations, risk and to better serve you. Your information may also be used to satisfy valid information requests from regulators and other organizations or individuals who are legally entitled to such information.



I/We confirm that by signing this form, I/we declare and acknowledge that the information given by me/us is, to the best of my/our knowledge, correct and will be relied upon by the JMMB Group; also that I/we are authorizing the JMMB Group to take such steps as it may deem necessary to verify any of the information provided by me/us. I/We have read this form, before signing and are aware of the obligations contained herein.

Name..... Name.....

Signature..... Signature.....

Date..... Date.....

(DD/MM/YYYY)

(DD/MM/YYYY)



FATCA INFORMATION

Global Intermediary Identification Number (GIIN) (financial institutions only).....

Are you a disregarded entity* for US Federal Tax purposes? Yes No

**Disregarded Entity is an entity with one (1) owner which is not registered as a corporation. The entity elects not to be separate from its owner for tax purposes but is separate from its owner for liability purposes.*

Do you maintain financial accounts for non-participating Foreign Financial Institutions (FFI's)? Yes No

FATCA Code: Exempt payee code (if any) Exemption from FATCA reporting code (if any)

FATCA Code	Definition	FATCA Code	Definition
A	An organization exempt from tax under section 501(a) or any individual retirement plan as defined in section 7701(a)(37)	H	A regulated investment company as defined in section 851 or an entity registered at all times during the tax year under the Investment Company Act of 1940
B	The United States or any of its agencies or instrumentalities	I	A common trust fund as defined in section 584(a)
C	A state, the District of Columbia, a U.S. commonwealth or possession, or any of their political subdivisions or instrumentalities	J	A bank as defined in section 581
D	A corporation the stock of which is regularly traded on one or more established securities markets, as described in Regulations section 1.1472-1(c)(1)(i)	K	A broker
E	A corporation that is a member of the same expanded affiliated group as a corporation described in Regulations section 1.1472-1(c)(1)(i)	L	A trust exempt from tax under section 664 or described in section 4947(a)(1)
F	A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state	M	A tax exempt trust under a section 403(b) plan or section 457(g) plan
G	A real estate investment trust		

Kindly indicate your Chapter 4 Status (FATCA status)

- Nonparticipating Foreign Financial Institution (including a limited Foreign Financial Institution or limited branch)
- Participating Foreign Financial Institution
- Reporting Model 1 Foreign Financial Institution
- Participating Foreign Financial Institution in a Model 2 Inter Governmental Agreement jurisdiction
- Registered deemed-compliant Foreign Financial Institution (other)
- Sponsored Foreign Financial Institution that has not obtained a Global Intermediary Identification Number (only for payments made prior to January 1, 2016)
- Certified deemed-compliant non-registering local bank
- Certified deemed-compliant Foreign Financial Institution with only low-value accounts
- Certified deemed-compliant sponsored, closely held investment vehicle
- Certified deemed-compliant limited life debt investment company (only for payments made prior to January 1, 2017)
- Owner-documented Foreign Financial Institution
- Restricted distributor
- Non-reporting Inter Governmental Agreement Foreign Financial Institution
- International organization
- Exempt Retirement funds
- Entity wholly owned by exempt beneficial owners
- Territory financial institution
- Excepted nonfinancial group entity
- Excepted nonfinancial start-up company
- Excepted nonfinancial entity in liquidation or bankruptcy
- 501(c) organization
- Non-profit organization
- Non-Financial Foreign Entity that is publicly traded or affiliated of a publicly traded Non-Financial Foreign Entity
- Excepted territory Non-Financial Foreign Entity
- Active Non-Financial Foreign Entity
- Passive Non-Financial Foreign Entity

Please indicate your US Status by signing at A or B below:

A. FATCA Certification for Non-US Person



Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct and complete. I further certify under penalties of perjury that:

1. The person identified on the line entitled Registered Company Name on this form is the beneficial owner of all the income to which this form relates or is using this form to certify its status as an owner of a foreign financial institution or as a merchant submitting this form for the purposes of section 6050W.
2. The person identified on the line entitled Registered Company Name is not a US person.
3. The income to which this form relates is: (a) not effectively connected with the conduct of at trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income, **and**
4. For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt or custody of the income of which the entity named on the line entitled Registered Company Name is the beneficial owner or any withholding agent that can disburse or make payments of the income of which the named entity on the line entitled Registered Company Name is the beneficial owner.

I agree that I will advise JMMB Group immediately if any certification on this form is no longer valid.

..... Signature of individual authorized to sign for beneficial owner Name Date (DD/MM/YYYY)
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..... Signature of individual authorized to sign for beneficial owner Name Date (DD/MM/YYYY)
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I certify that I have the capacity to sign for the entity identified on the line entitled Registered Company Name of this form.

B. FATCA Certification for US Person

Under penalties of perjury, I certify that:

1. The Taxpayer Identification Number (TIN) provided is correct (or we are waiting for a number to be issued to us), and
2. The entity is not subject to backup withholding because: (a) The entity is exempt from backup withholding, or (b) The entity has not been notified by the Internal Revenue Service (IRS) that they are subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified the entity that they are no longer subject to backup withholding, and
3. The entity is U.S. person, and
4. The FATCA code(s) entered on this form (if any) indicating that the entity is exempt from FATCA reporting is correct.

Certification instructions - You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN.

..... Signature of US Person Taxpayer Identification Number (TIN) Date (DD/MM/YYYY)
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..... Signature of individual authorized to sign for US Person Name Date (DD/MM/YYYY)
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This section is to be completed by a Justice of the Peace, Notary Public, Bank Manager or Attorney-at-Law if documents were signed outside a JMMB Office.

I hereby certify that the signature(s) appearing on this form was/were affixed in my presence by the said person(s) who presented satisfactory identification(s).

Name Signature

Address

Parish/City..... State..... Zip Code..... Country.....



TO BE COMPLETED BY JMMB PERSONNEL ONLY



Subsidiary/Branch/Department

Documents Received from Client

- Certification of Incorporation Business Name Registration Certificate Memorandum & Articles of Ass. or Articles of Incorporation
- Certificate of Registration (for Charities/Non-Profit Org. issued by Department of Cooperative & Friendly Societies)
- Company TRN Company TCC Board Resolution Letter from Ministry Of Finance
- Financial Statements Current Tax Returns Indemnity Partnership Agreement
- Form W-8BEN-E Form W-8IMY Form W-8EXP Form W-8ECI Form W9
- ID, TRN & Proof of Residential Address for Directors, Major Shareholders & Authorized Signers
- Other documents

Received by Signature Date
 (DD/MM/YYYY)

Created by Signature Date
 (DD/MM/YYYY)

Authorized by Signature Date
 (DD/MM/YYYY)

Checked by Signature Date
 (DD/MM/YYYY)